


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Rudolf Faust, Savvas Hadjikyriacou, Toshio Suzuki and Maneesh Bahadur  
Application No.: 10/036,096 Group: 1711  
Filed: December 24, 2001 Examiner: Not yet assigned  
Confirmation No.: 3977  
For: RADIATION-CURABLE POLYMERIC COMPOSITION

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202	
on <u>Dec. 30, 2002</u>	<u>Betsy S. Kirschner</u>
Date	Signature
<u>Betsy S. Kirschner</u>	
Typed or printed name of person signing certificate	

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REQUEST FOR CORRECTED FILING RECEIPT  
FOR UTILITY APPLICATION

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

We hereby request that the following item of information be added to the Filing Receipt for the subject application received in this office on April 17, 2002.

The correction appears below.

**Add a second Assignee: Dow Corning Corporation, Midland, Michigan 48686**

Enclosed are copies of the Filing Receipt with changes noted in red and a copy of the Notice of Recordation Assignment Document with attached copies of Recordation Cover Sheet and executed Assignment to Dow Corning Corporation.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Theresa A. Devlin

Theresa A. Devlin

Registration No.: 45,361

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Concord, MA 01742-9133

Date: 12/30/02



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/036,096	12/24/2001	1711	870	0813.2001-000		17	3

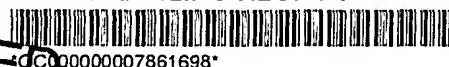
CONFIRMATION NO. 3977

021005

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  
530 VIRGINIA ROAD  
P.O. BOX 9133  
CONCORD, MA 01742-9133

UPDATED FILING RECEIPT

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Date Mailed: 04/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Rudolf Faust, Lexington, MA;  
Savvas Hadjikyriacou, Lowell, MA;  
Toshio Suzuki, Midland, MI;  
Maneesh Bahadur, Midland, MI;

**Assignment For Published Patent Application**

University of Massachusetts Lowell, Lowell, MA;  
*Dow Corning Corporation, Midland, MI*

Domestic Priority data as claimed by applicant

**Foreign Applications**

If Required, Foreign Filing License Granted 02/15/2002

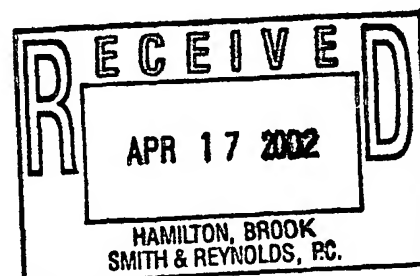
Projected Publication Date: 06/26/2003

Non-Publication Request: No

Early Publication Request: No

**Title**

Radiation-curable polymeric composition



**Preliminary Class**

522

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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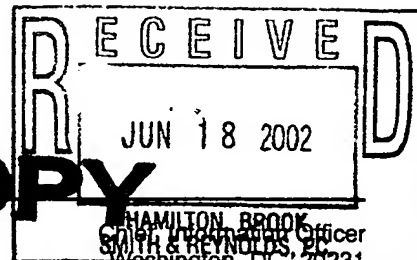
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JUNE 13, 2002

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HAMILTON, BROOK, SMITH ET AL.  
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NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/02/2002

REEL/FRAME: 012793/0343

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BAHADUR, MANEESH

DOC DATE: 02/27/2002

ASSIGNOR:

SUZUKI, TOSHIO

DOC DATE: 02/07/2002

ASSIGNEE:

DOW CORNING CORPORATION  
MIDLAND, MICHIGAN 48686

SERIAL NUMBER: 10036096

FILING DATE: 12/24/2001

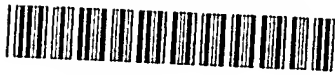
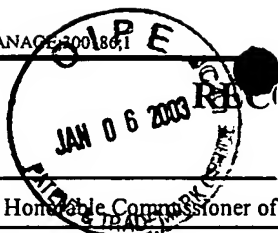
PATENT NUMBER:

ISSUE DATE:

SHARON BROOKS, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

04-18-2002

Docket No.: 0813.2001-000



SHEET

102058965

**COPY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

- 1) Maneesh Bahadur
- 2) Toshio Suzuki

4-2-02

2. Name and address of receiving party(ies)

Name: Dow Corning Corporation

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: Midland State: MI ZIP: 48686

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other \_\_\_\_\_

Execution Date: 1) 02/27/02 and 2) 02/07/02

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/036,096

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: N. Scott Pierce, Esq.

Internal Address: \_\_\_\_\_

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: 530 Virginia Road, P.O. Box 9133

City: Concord State: MA ZIP: 01742-9133

6. Total number of applications and patents involved: \_\_\_\_\_

7. Total Fee (37 C.F.R. 3.41)..... \$ 40.00

- ☒ Enclosed
- ☒ Authorized to charge any deficiencies or credit any overpayment to deposit account
- ☐ Authorized to be charged to deposit account

8. Deposit account number:

08-0380

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Theresa A. Devlin

Name of Person Signing

Theresa A. Devlin

Signature

3/26/02

Date

Total number of pages including cover sheet, attachments, and document: [ 3 ]

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FINANCE SECTION

# COPY

Joint Inventors  
Docket No. DC4925

## ASSIGNMENT

WHEREAS We, Maneesh Bahadur of Midland, County of Midland and, State of Michigan; and Toshio Suzuki of Midland, County of Midland and State of Michigan have invented certain new and useful improvements in

### RADIATION-CURABLE POLYMERIC COMPOSITION

for which application for United States Letters Patent has been executed by us on February 7th & 27th, 2002, and

WHEREAS, the Dow Corning Corporation, a corporation organized and existing under the Laws of the State of Michigan, having its principal place of business at Midland, Michigan, U.S.A., is desirous of acquiring the said invention and said application and any and all Letters Patent that may be obtained therefore or thereupon, and all reissues and extensions thereof;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One Dollar (\$1.00), and other good and valuable considerations, to us in hand paid by the said Dow Corning Corporation, the receipt of which is hereby acknowledged, we have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto the said Dow Corning Corporation, its successors, and assigns, the full and exclusive right, title and interest in and to the said invention and said application for Letters Patent of the United States therefore, and in and to any Divisions and Continuations thereof and in and to any and all United States Letters Patent and reissues and extensions thereof that may be issued for the said invention, to have and to hold for its own use and behoof and the use and behoof of its successors and assigns as fully and entirely as the same might be enjoyed by us if this sale and assignment had not been made; and

HEREBY AUTHORIZE and request the Commissioner of Patents and Trademarks to issue the said Letters Patent and any reissues and extensions thereof to the said Dow Corning Corporation as assigns of the entire right, title and interest in and to the said invention and said application and Letters Patent issued therefore or thereupon; and

HEREBY AGREE to execute all instruments and documents within my power which may be necessary for the carrying out of this assignment in full; and to execute any divisional or continuing application or applications which may be necessary or proper to obtain full protection on the invention hereby assigned; and to execute any and all supplemental oaths and preliminary statements should the same be proper and necessary in the prosecution of the aforesaid applications; and

Maneesh Bahadur

Shelly K. Moomer  
Notary Public

*Toshio Suzuki*  
Toshio Suzuki

Shelly K. Mooney  
Notary Public